



United Nations  
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# World Heritage

# 37 COM

**WHC-13/37.COM/14**

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**UNITED NATIONS EDUCATIONAL, SCIENTIFIC  
AND CULTURAL ORGANIZATION**

**CONVENTION CONCERNING THE PROTECTION OF  
THE WORLD CULTURAL AND NATURAL HERITAGE**

**WORLD HERITAGE COMMITTEE**

**Thirty-seventh session**

**Phnom Penh, Cambodia  
16 – 27 June 2013**

**Item 14 of the Provisional Agenda: Examination of International Assistance requests**

## **SUMMARY**

This document contains under Part I the status of implementation of the International Assistance request from Madagascar as requested by the Committee in Decision **36 COM 14**, and under Part II a proposed revision of the *Operational Guidelines* which the open-ended working group on the *Operational Guidelines* may wish to take into account.

The present document contains also, in Annex, an analysis of Preparatory Assistance requests dedicated to the preparation of nomination files and approved during the period 2001-2010. This analysis was prepared by the Secretariat following the request made by a member of the World Heritage Committee.

This document shall be read together with information document WHC-13/COM.17/INF.14.

**Draft Decision: 37 COM 14**, See Point III.

## I. STATUS OF IMPLEMENTATION OF THE INTERNATIONAL ASSISTANCE REQUEST FOR ATSIANANA FORESTS (MADAGASCAR) APPROVED IN 2010 BY THE WORLD HERITAGE COMMITTEE

1. In accordance with Decision **34 COM 15.2** (Brasilia, August 2010), the World Heritage Committee approved an amount of US\$ 100,000 for 'Madagascar: Rainforests of the Atsinanana', under the category 'Conservation and Management', according to five modalities. Following two reports on the implementation of this decision at the 35th and 36th sessions of the Committee in 2011 and 2012 respectively, the Committee requested the Centre to provide another update at the 37th session of the Committee in 2013 under the agenda item relating to International Assistance (Decision **36 COM 14**, paragraph 3).

2. The five modalities mentioned above have been implemented as follows:

a) Prior payment of arrears to the World Heritage Fund.

The arrears were settled on 29 November 2010, when Madagascar paid its contributions for 2009 and 2010. The dues up to 31 December 2012 have also been settled.

b) The support should be channeled through reliable and recognized organizations selected by the World Heritage Centre in communication with relevant authorities.

As indicated last year in Document WHC-12/36.COM/14, p.9, the World Heritage Centre had identified the Madagascar National Parks Foundation as the most appropriate partner to coordinate these activities. A Memorandum of Understanding (MoU) was signed in March 2011 between this Foundation and the Ministry for Forests.

c) Allocation of a first payment of US\$ 35,000 to cover mapping activities, inventories of threats, impact assessment and inventories of stocks of cut and remaining precious woods, and as foreseen in the request for assistance in Document WHC-10/34.COM/15. This assessment should be finalized prior to the organization of the World Heritage Centre / IUCN on-site monitoring mission (see Decision **34 COM 7B.2**)

The first installment of US\$ 35,000 of the approved assistance had been released and its corresponding results were made available to the reactive monitoring mission, which was undertaken from 23 May to 1st June 2011.

d) Establishment of an Emergency Plan to define corrective measures, prepared jointly with the State Party and stakeholders during the World Heritage Centre/IUCN mission and approved by the State Party

In its decision **35 COM 7A.10** (UNESCO, 2011), the World Heritage Committee adopted as part of the corrective measures a series of urgent actions to halt illegal logging operations which were included in the Emergency Plan.

- e) Allocation of a second payment of US\$ 65,000 as a contribution to the implementation of the Emergency Plan, subject to co-financing from the government and other donors.

On 11 September 2012, the State Party submitted details on the procedure for the inventory, confiscation, bidding and timber sale, as well as the specialists mobilized and the involvement of civil society and partner organizations in this process. In parallel, the World Bank decided to fund three studies to stimulate the reflection on the reorganization of the wood sector, namely a feasibility study of the inventory and marking of stocks, an analysis of the legal framework governing the exploitation and export of timber in Madagascar and a feasibility study on the inventory liquidation.

These recent developments made obsolete the activities originally proposed for the use of the US\$ 65,000 approved under the International Assistance request. Therefore the World Heritage Centre asked for a revised budget for the use of these funds. It suggested to allocate them for the organization of a consultation meeting with stakeholders, once the results of the studies would be available, to discuss a common position on the option to take and mobilize the funds necessary for the implementation of activities. A small portion of the budget could be used to facilitate the smooth functioning of the Steering Committee.

In the meantime, the project proposal developed thanks to the extra-budgetary funding received from Bulgaria was endorsed by the Norwegian authorities beginning of 2013 for an amount of US\$ 1,095,000. It will assist with the implementation of the corrective measures in complement to the support from the International Assistance.

## II. PROPOSAL TO AMEND § 240 OF THE OPERATIONAL GUIDELINES

Note: a consultative body to be established on the *Operational Guidelines* during the 37<sup>th</sup> session may wish to take this proposal into account.

1. Currently, paragraph 240 of the *Operational Guidelines* states that :

*“A balance will be maintained in the allocation of resources between cultural and natural heritage and between Conservation & Management and Preparatory Assistance. This balance is reviewed and decided upon on a regular basis by the Committee and during the last 3 months of each biennium by the Chairperson of the World Heritage Committee”.*

2. The second part of this paragraph is no longer consistent with the new panel system instituted by Decision **36 COM 14**, since the second panel of each year will make its final recommendations in spring, well before the last 3 months of the biennium. And these recommendations are addressed to the Chairperson or to the Committee, depending on the amount of the request(s). The revised paragraph 240 should therefore read as follows (modifications underlined):

*“A balance will be maintained in the allocation of resources between cultural and natural heritage and between Conservation & Management and Preparatory Assistance. This balance is reviewed and decided upon on a regular basis by the Committee and during the ~~last 3 months~~ second year of each biennium by the Chairperson ~~of~~ or the World Heritage Committee”.*

### III. DRAFT DECISION

#### **Draft Decision: 37 COM 14**

The World Heritage Committee,

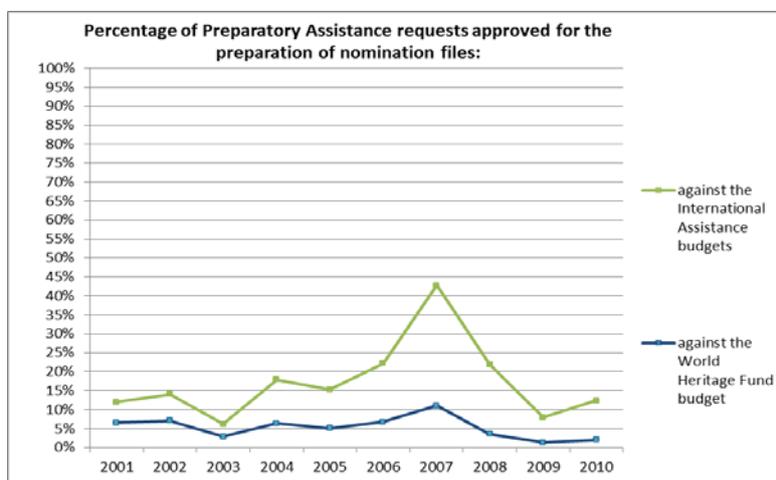
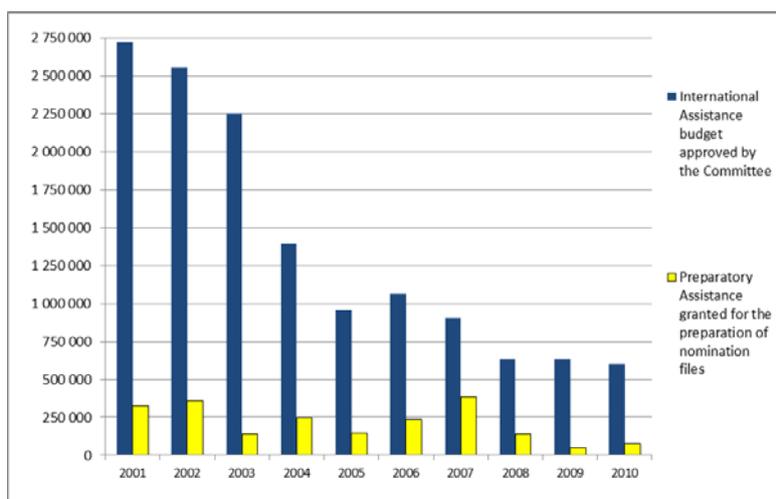
1. Having examined Documents WHC-13/37.COM/14 and WHC-13/37.COM/INF.14,
2. Expresses its deep concern at the status of the International Assistance budget;
3. Warmly thanks the Governments of Italy and India for their generous contribution to the International Assistance budget, which made the approval of several requests possible since 2010;
4. Encourages other States Parties to follow their example, since without additional contributions being made to the World Heritage Fund, it will not be possible to effectively respond to International Assistance requests, thereby weakening the credibility of the Convention and the fulfilment of its objectives;
5. Recalls its Decision **37 COM 12** whereby it adopted the revision of paragraph 240 related to International Assistance in the Operational Guidelines;
6. Also recalls that, according to the new calendar which entered into force last year, the deadline for receiving all International Assistance requests for the 2014 cycle is 31 October 2013;
7. Takes note of the status of implementation of the International Assistance request for Atsinanana Forests (Madagascar) approved in 2010 by the Committee and requests the Secretariat to submit the revised budget and activities of the second installment of US\$65,000 for approval by the Chairperson of the Committee;
8. Also requests the Secretariat to submit a progress report on the implementation of this request at the 39th session of the Committee in 2015, under the agenda item relating to International Assistance;
9. Also takes note of the analysis on Preparatory Assistance dedicated to the preparation of nomination files during the period 2001-2010.

### ANALYSIS OF PREPARATORY ASSISTANCE GRANTED FOR THE PREPARATION OF NOMINATION FILES

1. By letter dated 1 April 2013, the Ambassador and Permanent Delegate of India requested the Secretariat to prepare an analysis of the World Heritage Fund's Preparatory Assistance requests, dedicated to the preparation of specific nomination files and approved during the period 2001-2010. The rationale given was that "Over the years a substantial part of assistance from the Fund has been dedicated to support the preparation of nomination files". Therefore, it "would be appropriate and beneficial to carry out a review of the effectiveness of utilisation of the resources of the Fund."
2. The request further stated that the analysis should:
  - a) "provide data and figures on how much of the assistance was intended, directly or indirectly, partly or wholly to prepare nomination files for inscription";
  - b) "ascertain the success rate, i.e. in how many cases was a nomination dossier prepared, and significantly, in how many cases did it lead to actual inscription";
  - c) "if the success ratios are low, for instance less than 50%, (...)
    - i) provide an explanation and an elaboration of the process, from TORs and tasking, to consultant selection, WHC monitoring and follow-up;
    - ii) suggest the reasons for the less than adequate performance;
    - iii) indicate corrective and remedial measures that are being, or will be taken by the WHC".
3. Accordingly, the following analysis is presented for the information of the Committee.
4. Before presenting the analysis, a preliminary comment is necessary. Over the years, along with increasingly comprehensive requirements, the preparation of nominations has become an important, but rather complex process. Gathering all the relevant information on the nominated property, setting up the team to be in charge of the nomination process, ensuring the participation of all stakeholders including local communities, defining the potential for Outstanding Universal Value, the attributes and the boundaries, carrying out the comparative analysis, setting up adequate management mechanisms and finally putting together all the elements into a comprehensive nomination file can take several years and represent a real investment, in both time and funds, from the State Party. In this context, the funds approved under Preparatory Assistance amounting to a maximum of US\$ 30,000 per request, can never finance the whole nomination process but only contribute to part of it. It may be noted that the average approved amount per request during the period 2001-2010 was only US\$ 20,631 (see table in para. 7 below). Indeed, one of the considerations for granting International Assistance that is outlined in § 239 of the *Operational Guidelines* is "the likelihood that the assistance will have a catalytic and multiplier effect ("seed money") and promote financial and technical contributions from other sources." Finally, the fact that a Preparatory Assistance request is granted does not mean automatically that the site will or even should get inscribed, since OUV is the main criterion for inscription.

## A. Magnitude of Preparatory Assistance granted

5. Over the period under review (2001-2010), the World Heritage Committee approved International Assistance budgets amounting to US\$ 13,720,183<sup>1</sup> (all types of assistance included<sup>2</sup>). Out of this, an amount of US\$ 2,106,735 was approved under the Preparatory Assistance category for the preparation of nomination files, which represent 15.4% of the International Assistance budget and 5.3% of the overall World Heritage Fund budget.
6. The charts below show that Preparatory Assistance requests approved for the preparation of nomination files represented a small part of the International Assistance budgets (between 6.2% and 22.2%, except in 2007). The size of the International Assistance budget has dramatically decreased within the World Heritage Fund since 2004<sup>3</sup>, falling from 55% to 16% between 2001 and 2010.



<sup>1</sup> In order to be able to compare the International Assistance budgets over the whole period 2001-2010, this figure of US\$ 13,720,183 excludes the amounts granted to IUCN and ICCROM for technical cooperation and training, and which appeared under the International Assistance budgets until 2003.

<sup>2</sup> Within the International Assistance budgets, Preparatory Assistance budget was separate until 2007. As from 2008, the earmarking of funds was discontinued (Decision **30 COM 14A**, para. 5.c, confirmed by Decision **31 COM 18B**, para. 5.e) and Preparatory Assistance and Conservation & Management Assistance budgets were pooled. The earmarking will be reintroduced within the International Assistance budget as from 2014, in conformity with the provisions of para. 240 of the *Operational Guidelines* revised in 2012 following Decision **36 COM 13**.

<sup>3</sup> Please refer to document WHC-11/35.COM/15, p. 9.

## B. Effectiveness of utilisation of the resources of the Fund

7. The amount of US\$ 2,106,735 approved under Preparatory Assistance for the preparation of nomination files can be broken down as follows:

	<b>No. of requests</b>	<b>% of requests</b>	<b>Amount granted (in US\$)</b>	<b>% in US\$</b>
Preparatory Assistance linked to a site which got inscribed <sup>4</sup>	40	39.2%	839 797	39.9%
Preparatory Assistance linked to several sites (some getting inscribed, others not) <sup>5</sup>	3	2.9%	54 695	2.6%
Preparatory Assistance linked to a nomination file which was “deferred” and has not been resubmitted yet <sup>6</sup>	8	7.8%	159 850	7.6%
Preparatory Assistance linked to a nomination which was deemed “incomplete” and has not been resubmitted yet	7	6.9%	174 990	8.3%
Preparatory Assistance linked to a nomination file which was submitted and then withdrawn by the State Party	5	4.9%	106 118	5.0%
Preparatory Assistance against which no nomination file has been submitted yet	23	22.6%	472 713	22.4%
Preparatory Assistance linked to nomination files whose outcome is still unknown <sup>7</sup>	16	15.7%	298 572	14.2%
<b>TOTAL</b>	<b>102</b>	<b>100%</b>	<b>2,106,735</b>	<b>100%</b>

8. Nomination files were prepared in 79 cases out of 102 (i.e. a “success rate” of 77.4%) and 43 out of these 79 led to an inscription (i.e. a “success rate” of 54.4%). Therefore, the success ratios cannot be deemed to be “low”, as they are not less than 50%.

## C. Explanation and analysis

9. Concerning the elaboration of the process (from TORs to consultant selection), the International Assistance request format includes a field where the State Party must indicate the agency/body responsible for the implementation of the project. This body is always national, and in most cases it is the one with whom the contract is established at the request of the State Party itself. The TORs of the contract are based strictly on the content of the Preparatory Assistance request, prepared by the State Party.
10. For the Preparatory Assistance requests linked to a nomination which was deferred, the deferrals were due to the fact that the OUV was not demonstrated, which was sometimes linked to the manner in which the boundaries of the nominated property were defined. Issues related to management or integrity also played a role. It has to be

<sup>4</sup> Includes 15 sites for which the first submission was deemed incomplete (10) or was referred (5).

<sup>5</sup> The 3 Preparatory Assistance requests linked to several sites were approved for the preparation of 2 nomination files each, one of the 2 sites nominated being inscribed and the other not (withdrawn, referred or deferred).

<sup>6</sup> Includes a file which was initially referred and then deferred, and another that was initially deemed incomplete and then deferred.

<sup>7</sup> The nomination files were submitted and are for decision by the World Heritage Committee at its 37<sup>th</sup> or 38<sup>th</sup> session. In one case, the implementation of the Preparatory Assistance request is not over yet.

noted that until 2012, the *Operational Guidelines* gave no specific guidance on the selection of the preparatory assistance requests for the preparation of nomination files; a request technically complete could be approved.

11. In the case of nomination files considered incomplete, it has to be noted that States Parties are encouraged to contact the Secretariat, which can provide assistance in identifying appropriate maps, giving examples of successful nominations, of management and legislative provisions and advising for complex cases (serial and transboundary properties, cultural landscapes, routes, etc). States Parties may also submit draft nominations to the Secretariat for comment and review by 30 September of each year, on a voluntary basis. Despite the fact that this possibility has been integrated in the *Operational Guidelines* since 2005 (§ 127), it was not used in any of the cases of incompleteness registered in this analysis.
12. It may also happen that nomination files are prepared against unrealistically short timeframes, which leads to incomplete or deferred nominations. In these cases, it was observed that the average time between the approval of the requests (whose implementation does not usually start immediately) and the submission of the nomination file, which was deemed to be incomplete or deferred by the Committee, is 1.5 to 2 years.
13. When a nomination file is withdrawn or never submitted, the reasons being internal to the State Party, they are not easy to track and rather difficult to foresee or avoid:
  - a) In three cases, the Advisory Bodies evaluation recommended either deferral or non inscription; we may infer that this was the reason for the withdrawal. Another nomination was withdrawn even before the evaluation of the Advisory Bodies.
  - b) In other cases, the nomination process underway was interrupted and has not been restarted by the State Party concerned, presumably for internal reasons, or maybe because it was realized during the process that the site would have only a regional and not a global significance.
  - c) Because the nomination process is a long one, the fact that a nomination file has not been submitted yet does not mean it will never come (the figures given in this analysis may differ in one or two years from now).

#### **D. Corrective and remedial measures taken or to be taken**

14. Based on the recommendation of the External Auditor of UNESCO in the Evaluation of the Global Strategy, the World Heritage Committee in its Decision **36 COM 13.I** amended § 235 of the *Operational Guidelines* in 2012 to give higher priority under International Assistance to Conservation and Management assistance, as compared to Preparatory assistance. The rationale for this recommendation was to refocus attention and funding to properties already inscribed on the World Heritage list for their monitoring, conservation and management.
15. Furthermore, by the same Decision the Committee also amended §241 of the *Operational Guidelines*. Now Preparatory Assistance for nominations will be limited to “sites recognized in approved thematic advice as corresponding to gaps on the List and/or ... sites where preliminary investigations have shown that further inquiries would be justified” (which is fully in line with article 13.2 of the World Heritage Convention). This should prevent assistance from being given to sites whose potential for OUV is not clear.
16. A resource manual “Preparing World Heritage Nominations” was published in 2010, taking as a reference the *Operational Guidelines* of 2008. A second edition published in 2011 was based on the new version of the *Operational Guidelines* (2011). The manual highlights the basic principles which should underpin all good nominations to ensure

that the most appropriate properties are nominated, and that the nomination process itself contributes to protection, conservation and effective management of natural and cultural heritage. This manual does not replace the *Operational Guidelines*, but provides additional guidance. In all cases, the *Convention* and *Operational Guidelines* remain the primary references.

17. A programme of capacity-building for the preparation of nomination files, through a process of mentoring, was launched with extra-budgetary funding, first for the Africa region in cooperation with the African World Heritage Fund, and currently for SIDS. It aims at strengthening professional capacities in order to increase the number and quality of nominations of cultural and natural heritage sites.
18. Both the resource manual and the capacity-building programme should help the States Parties to make an optimal use of the limited funds which can be granted under Preparatory Assistance.
19. Following the reflection on the “Future of the World Heritage Convention” and *inter alia* noting the need to reduce the number of properties that experience significant problems in the nomination process, the World Heritage Committee decided to launch the “upstream process” of support to States Parties for the preparation of nominations in its Decision **34 COM 12** on an experimental basis. Progress reports on the implementation of the experimental upstream process are being presented annually to the Committee since 2011.
20. As an additional measure, the World Heritage Committee may wish to also consider whether Preparatory Assistance should be restricted only to those cases where support has been requested by the State Party in the framework of the “Upstream Process”, to ensure that the best possible technical assistance is provided in the preparation of nominations.

<b>Id</b>	<b>State Party</b>	<b>Region</b>	<b>Title of the request</b>	<b>Amount Approved (in US\$)</b>	<b>Approval date</b>	<b>Category</b>	<b>Inscription year</b>	<b>Name of inscribed site / Remarks</b>
<b>Preparatory Assistance linked to a site which was inscribed (40 requests)</b>				<b>840 097</b>				
1381	Korea, Democratic People's Republic of	APA	Preparation of a Tentative List of Potential Cultural WH sites in the Democratic People's Republic of Korea and the Koguryo Tombs group	4 700	23 March 2001	culture	2004	<i>Complex of Koguryo Tombs</i>
1394	Kenya	AFR	Great Rift Valley as a mixed nomination	15 000	14 June 2001	mixed	2011	<i>Kenya Lake System in the Great Rift Valley</i>
1420	Kenya	AFR	Finalising the nomination files for "Lake Turkana National Parks" (Sibiloi/Central Island National Parks (extension) and South Island National Park; and Rift Valley Lakes Reserve)	10 000	8 August 2001	nature	2001 <i>(Turkana extension)</i> 2011 <i>(Rift Valley Lakes)</i>	<i>Lake Turkana National Parks (extension)</i> <i>Kenya Lake System in the Great Rift Valley</i>
1399	Togo	AFR	Preparation of the nomination file on the World Heritage List of the site "Vernacular Habitat Betammaribe"	27 043	30 June 2001	culture	2004	<i>Koutammakou, the Land of the Batammariba</i>
1397	Indonesia	APA	Preparation of a Tentative List and a nomination dossier of potential Cultural Heritage properties in Bali	30 000	30 June 2001	culture	2012	<i>Cultural Landscape of Bali Province: the Subak System as a Manifestation of the Tri Hita Karana Philosophy</i>
1395	Gambia	AFR	Preparation of a joint nomination on megalithic sites in Gambia and Senegal	9 000	19 September 2001	culture	2006	<i>Stone Circles of Senegambia</i>
1405	Senegal	AFR	Preparation of joint request for the megalithic sites in Gambia and in Senegal (Sine Ngayene and Wanar)	15 000	19 September 2001	culture	2006	<i>Stone Circles of Senegambia</i>

<b>Id</b>	<b>State Party</b>	<b>Region</b>	<b>Title of the request</b>	<b>Amount Approved (in US\$)</b>	<b>Approval date</b>	<b>Category</b>	<b>Inscription year</b>	<b>Name of inscribed site / Remarks</b>
1450	Kazakhstan	APA	Preparatory Assistance for the nomination of the Archaeological complex and Petroglyphs of Tamgaly as cultural landscape on List of World Heritage	20 000	23 November 2001	culture	2004	<i>Petroglyphs within the Archaeological Landscape of Tamgaly</i>
1442	Tajikistan	APA	Emergency assistance for Sarazm	19 748	23 November 2001	culture	2010	<i>Proto-urban Site of Sarazm</i>
1854	Tajikistan	APA	Preparatory Assistance for the Nomination of Sarazm	30 000	1 October 2007	culture	2010	
1528	Saint Lucia	LAC	Preparatory assistance for preparing a Tentative List and a nomination of Pitons Management Area	19 950	9 April 2002	nature	2004	<i>Pitons Management Area</i>
1460	Malawi	AFR	Preparing Nomination for the Chongoni Rock Art Area to the World Heritage List	14 083	9 April 2002	culture	2006	<i>Chongoni Rock-Art Area</i>
1673	Malawi	AFR	Consultancy on Preparing Nomination of a Cultural Property to the World Heritage List	19 430	24 June 2003	culture	2006	
1461	Kenya	AFR	Preparation of a nomination dossier for the Mijikenda Kaya Forests of Kenya	12 870	3 June 2002	nature	2008	<i>Sacred Mijikenda Kaya Forests</i>
1558	Albania	EUR	Final preparation of the nomination file for inscription of the museum city of Gjirokastra on the World Heritage List	14 964	23 October 2002	culture	2005	<i>Museum-City of Gjirokastra</i>
1850	Albania	EUR	Nomination file and joint management plan for the 2 cities of Berat and Gjirokastra	30 000	19 October 2007	culture	2008	<i>Historic Centres of Berat and Gjirokastra</i>
1599	Bahrain	ARB	Preparatory Assistance for Qal'at al-Bahrain site	26 500	29 June 2002	culture	2005	<i>Qal'at al-Bahrain – Ancient Harbour and Capital of Dilmun</i>
1605	Turkmenistan	APA	Preparation of the nomination dossier of Kunya Urgench Tentative List site	30 000	29 June 2002	culture	2005	<i>Kunya-Urgench</i>

<b>Id</b>	<b>State Party</b>	<b>Region</b>	<b>Title of the request</b>	<b>Amount Approved (in US\$)</b>	<b>Approval date</b>	<b>Category</b>	<b>Inscription year</b>	<b>Name of inscribed site / Remarks</b>
1630	Syrian Arab Republic	ARB	Preparation of the nomination file of the Crac des Chevaliers and Saladin's citadel, proposed on the Tentative List of 08/06/1999 under criteria C (ii),(iv)	20 000	5 February 2003	culture	2006	<i>Crac des Chevaliers and Qal'at Salah El-Din</i>
1571	Belarus	EUR	Organisation of a seminar for the revision of the Tentative List and expert assistance with the preparation of one nomination dossier	10 000	14 August 2003	culture	2005	<i>Architectural, Residential and Cultural Complex of the Radziwill Family at Nesvizh</i>
1576	Ethiopia	AFR	Preparatory assistance for the Nomination File of Harar Town	20 000	14 August 2003	culture	2006	<i>Harar Jugol, the Fortified Historic Town</i>
1696	Mauritius	AFR	Preparing Nomination file for le Morne Mountain and Black River Gorges for inclusion on the World Heritage List	17 487	10 March 2004	culture	2008	<i>Le Morne Cultural Landscape</i>
1713	Burkina Faso	AFR	Preparation of a nomination dossier for the property "Stone ruins of Loropeni (Province of Poni)"	20 000	5 April 2004	culture	2009	<i>Ruins of Loropéni</i>
1841	Burkina Faso	AFR	Finalisation of the nomination dossier of the Ruins of Loropeni	30 000	9 March 2007	culture	2009	
1706	China	APA	International Symposium for the identification and harmonization of potential karst natural heritage properties in China	20 100	27 June 2004	nature	2007	<i>South China Karst</i>
1709	Kenya	AFR	Preparation for the nomination file for Fort Jesus/Old Town Mombasa	16 500	5 August 2004	culture	2011	<i>Fort Jesus, Mombasa</i>
1688	Tanzania, United Republic of	AFR	The World Heritage site Ngorongoro Conservation Area nominated under Cultural criteria	19 294	5 August 2004	mixed	2010	<i>Ngorongoro Conservation Area</i>
1716	Bulgaria	EUR	Preparation of a nomination dossier for the extension of Pirin National Park	15 000	14 September 2004	nature	2010	<i>Pirin National Park (extension)</i>

<b>Id</b>	<b>State Party</b>	<b>Region</b>	<b>Title of the request</b>	<b>Amount Approved (in US\$)</b>	<b>Approval date</b>	<b>Category</b>	<b>Inscription year</b>	<b>Name of inscribed site / Remarks</b>
1700	South Africa	AFR	Preparatory Assistance for the proposed Richtersveld World Heritage Nomination	20 000	4 December 2004	mixed	2007	<i>Richtersveld Cultural and Botanical Landscape</i>
1746	Sudan	ARB	Preparation of the Nomination File of the site of "Island of Meroe"	20 000	8 December 2004	culture	2011	<i>Archaeological Sites of the Island of Meroe</i>
1778	Madagascar	AFR	Elaboration of a serial nomination for the humid forests of eastern Madagascar	25 000	24 June 2005	nature	2007	<i>Rainforests of the Atsinanana</i>
1799	Marshall Islands	APA	Preparation of nomination of Bikini Atoll as a World Heritage site	30 000	20 April 2006	mixed	2010	<i>Bikini Atoll Nuclear Test Site</i>
1797	Sri Lanka	APA	Preparatory Assistance for Preparing the Nomination Dossier on the Peak Wilderness Sanctuary, Horton Plains National Park and Knuckles Conservation Forest in the Central Highlands of Sri Lanka for inscription on the World Heritage List.	28 100	20 April 2006	mixed	2010	<i>Central Highlands of Sri Lanka</i>
1813	Gabon	AFR	Elaboration of the nomination file for the Ecosystem and Relict Cultural Landscape of Lopé-Okanda (addition to the dossier)	28 600	16 July 2006	mixed	2007	<i>Ecosystem and Relict Cultural Landscape of Lopé-Okanda</i>
1853	Philippines	APA	Preparation of a World Heritage extension nomination for Tubbataha Reef Marine Park	18 488	1 October 2007	nature	2009	<i>Tubbataha Reefs Natural Park (extension)</i>
1852	Viet Nam	APA	Preparatory Assistance to the Cultural Heritage Complex of Thang Long Ha Noi	29 700	1 October 2007	culture	2010	<i>Central Sector of the Imperial Citadel of Thang Long - Hanoi</i>
1832	Syrian Arab Republic	ARB	Assistance to the Directorate General of Antiquities and Museums in preparing the Nomination file for Saint Simeon and the Limestone villages (Revised)	30 000	1 October 2007	culture	2011	<i>Ancient Villages of Northern Syria</i>
1851	Kyrgyzstan	APA	Completion of the nomination file for the Sulaiman-Too Cultural Landscape (Sacred Mountain)	28 240	1 October 2007	culture	2009	<i>Sulaiman-Too Sacred Mountain</i>

<b>Id</b>	<b>State Party</b>	<b>Region</b>	<b>Title of the request</b>	<b>Amount Approved (in US\$)</b>	<b>Approval date</b>	<b>Category</b>	<b>Inscription year</b>	<b>Name of inscribed site / Remarks</b>
1816	Mongolia	APA	Preparation of a serial World Heritage nomination and management plan for the Rock Paintings in Mongolia, including Khoit Tsenkher Cave and Tsagaan Sala Rock Paintings.(Revised request)	30 000	1 October 2007	culture	2011	<i>Petroglyphic Complexes of the Mongolian Altai</i>
2171	Palau	APA	Preparation of World Heritage nomination form and management plan for mixed natural and cultural heritage of the Rock Island Southern Lagoon	15 000	11 October 2010	mixed	2012	<i>Rock Islands Southern Lagoon</i>
<b>Preparatory Assistance linked to several sites (some getting inscribed, others not) (3 requests)</b>				<b>54 695</b>				
1481	Gabon	AFR	Elaboration of the nomination file for the Wildlife Reserve of Lopé-Okanda, the protected area of Mounts Minkebe and the Arboretum of Sibang.	20 000	12 February 2002	nature	2007 <i>(Ecosystem and Relict Cultural Landscape of Lopé-Okanda)</i>	Minkebe referred (to better document the natural values, clarify the potential impacts of proposed mining activities adjacent to the property; confirm the long-term management arrangements and consider the potential for an expanded transboundary nomination) Never submitted again (mining issues at the property)
1503	Russian Federation	EUR	Preparatory assistance for two nominations "Historical Centre of Yaroslavl" and "Rostov Kremlin"	18 695	9 April 2002	culture	2005 <i>(Historical Centre of the City of Yaroslavl)</i>	Rostov withdrawn by the State Party before the Advisory Bodies evaluation. It may come back as a further component of a serial extension of the nomination of "Russian Kremlins".

<b>Id</b>	<b>State Party</b>	<b>Region</b>	<b>Title of the request</b>	<b>Amount Approved (in US\$)</b>	<b>Approval date</b>	<b>Category</b>	<b>Inscription year</b>	<b>Name of inscribed site / Remarks</b>
1675	Colombia	LAC	Preparation of a serial nomination of the Sanctuary of Flora and Fauna Malpelo Island and Gorgona National Park	16 000	14 September 2004	nature	2006 <i>(Malpelo Fauna and Flora Sanctuary)</i>	Gorgona deferred (no details given in the decision; IUCN had recommended not to inscribe) Never submitted again
<b>Preparatory Assistance linked to a nomination file which was deferred and not yet resubmitted (8 requests)</b>				<b>159 850</b>				
1363	Egypt	ARB	Ras Mohammed Coastal Area South Sinai, Egypt	15 000	1 March 2001	nature	n.a.	To allow the State Party to consider extending the boundaries of the nomination No feedback from the State Party
1384	India	APA	Majuli Islands - Preparation of a nomination file	20 000	14 October 2001	culture	n.a.	To assemble a complete inventory of the 31 surviving Sattras in order to consider which ones might have the potential to demonstrate OUV; assess the potential impact of upstream development, deforestation and the building of dams.
1462	Bahrain	ARB	Preparation of the nomination file of Hawar Islands	30 000	8 December 2001	nature	n.a.	To consider an appropriate extension to the property It seems that a transboundary nomination is not yet feasible
1429	<i>Mauritania</i>	ARB	<i>Preparatory Assistance for the inscription of the cultural landscape of Azougui on the World Heritage List</i>	20 000	14 October 2001	<i>mixed</i>	n.a.	<i>Activity not implemented (reapproved in 2002 – see IA n°1529)</i>
1529	Mauritania	ARB	Preparatory Assistance for the inscription of the cultural landscape of Azougui on the World Heritage List	20 000	9 April 2002	mixed	n.a.	To make it part of a wider nomination; and to provide further justification on the OUV, the management plan, and a wider comparative study of the property

Id	State Party	Region	Title of the request	Amount Approved (in US\$)	Approval date	Category	Inscription year	Name of inscribed site / Remarks
								Not yet submitted (see below IA n°1626, p.19)
1645	Azerbaijan	EUR	Preparation of a nomination dossier for the Hirkan Forest State Reserve	14 900	21 March 2003	nature	n.a.	To allow the State Party to consider options for renominating the property as part of a transnational serial property with other Hirkanian forest areas in Iran and consider the issues related to integrity. Iran added the Hyrcanian Forest on its Tentative List in 2007, but there seems to be no agreement between the 2 States Parties on the way forward.
1800	Palau	APA	Prepare nomination of Uet el Daob me a Uet el Beluu and Chelechol er a Orrak Yapese Quarry Sites, Airai State, for inscription on the World Heritage List	29 500	24 May 2006	culture	n.a.	To allow the State Party to further justify the OUV of the property and develop a common structure of management Nomination currently under revision for submission in 2014.
1849	Jamaica	LAC	Comparative Analysis, and Final Preparation for the nomination of the John Crow Mountains National Park	10 450	16 October 2007	mixed	n.a.	To consider options for a future revised nomination related to natural criteria in Jamaica; to deepen the justification of OUV on the cultural side The State Party is currently working on a revised nomination.

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<b>Preparatory Assistance linked to a nomination file which was deemed incomplete and not yet resubmitted (7 requests)</b>				<b>174 990</b>				
1410	Benin	AFR	Preparation of a nomination file for "The slave route in Benin"	18 500	27 June 2001	culture	n.a.	The State Party is still working on the nomination file
1453	Indonesia	APA	Reformulation of the nomination dossier and elaboration of a site management plan for Tana Toraja	20 000	12 February 2002	culture	n.a.	Identification and description of the components of this serial nomination insufficient and confusing No feedback as yet from the State Party
1781	Egypt	ARB	Preparation of a management plan as a prelude for the inscription of the Gebel Qatrani area on the World Heritage List	17 000	9 June 2005	mixed	n.a.	Core and buffer zones not clearly defined. No feedback as yet from the State Party
1798	Marshall Islands	APA	Preparation of nomination of Ailinginae Atoll as a World Heritage site (first stage of nomination of a serial site)	30 000	20 April 2006	mixed	n.a.	Nomination unsigned; maps showing only a part of the property; sections 5e and 7a not filled in. After the inscription of Bikini Atoll on the World Heritage List in 2010, the State Party informed WHC that there would be no other nomination for the time being, in order to focus on strengthening conservation and management of the inscribed property.
1845	Philippines	APA	Preparation of the Nomination dossier of the Mount Apo Natural Park	30 000	9 September 2007	nature	n.a.	No feedback as yet from the State Party

<b>Id</b>	<b>State Party</b>	<b>Region</b>	<b>Title of the request</b>	<b>Amount Approved (in US\$)</b>	<b>Approval date</b>	<b>Category</b>	<b>Inscription year</b>	<b>Name of inscribed site / Remarks</b>
1980	Sudan	AFR	Preparation of a nomination file for the natural serial site of Sangeneb Atoll and Dongonab Bay	29 500	22 June 2010	nature	n.a.	Several sections have been omitted and the proposed boundaries were not precisely defined. The State Party is currently working to revise / complete the nomination.
2130	Kenya	AFR	Preparation of nomination dossier for Thimlich Ohinga Cultural Landscape for inscription into the UNESCO World Heritage List.	29 990	11 October 2010	culture	n.a.	Some elements omitted and old nomination format used. No feedback as yet from the State Party.
<b>Preparatory Assistance linked to a nomination file which was submitted and then withdrawn by the State Party (5 requests)</b>				<b>127 718</b>				
1511	Colombia	LAC	Preparatory assistance request for Serrania of Chiribiquete Natural National Park	14 950	22 March 2002	mixed	n.a.	IUCN and ICOMOS recommended deferral (to further document the values of the property, consolidate the management authority and guarantee the control of the illegal armed groups operating inside the nominated property) Not submitted again (may be linked to security problems at the site)
1425	Malawi	AFR	Preparation of nomination file for Nkiya National Park-	16 000	14 October 2001	mixed	n.a.	Activity not implemented (reapproved in 2002 – see IA n°1514)
1514	Malawi	AFR	Preparation of nomination file for Nkiya National Park	16 000	9 April 2002	nature	n.a.	IUCN and ICOMOS had recommended not to inscribe (the property had regional significance but did not demonstrate values at the level required for inscription on the WH List)

<b>Id</b>	<b>State Party</b>	<b>Region</b>	<b>Title of the request</b>	<b>Amount Approved (in US\$)</b>	<b>Approval date</b>	<b>Category</b>	<b>Inscription year</b>	<b>Name of inscribed site / Remarks</b>
1793	Bolivia (Plurinational State of)	LAC	Cal Orcko Largest Dinosaur Tracksite of the World - Nomination Preparation	29 168	20 April 2006	nature	n.a.	IUCN had recommended not to inscribe (the property had regional significance but did not demonstrate values at the level required for inscription on the WH List. And as an active quarry, the property did not meet the conditions of integrity)
1830	Tanzania, United Republic of	AFR	Preparation of a nomination file for Eastern Arc Mountains	30 000	15 October 2007	nature	n.a.	Withdrawn before the Advisory Bodies evaluation
<b>Preparatory Assistance against which no nomination file has been submitted yet (23 requests)</b>				<b>531 999</b>				
1369	India	APA	Preparation of the tentative list format and nomination dossier of Padmanabhapuram Palace, Tamil Nadu, India	16 362	5 February 2001	culture		Padmanabhapuram Palace is proposed to be added to the revised Tentative List of India, which is under preparation.
1353	Grenada	LAC	<i>Preparation of a tentative list and nominations to the World Heritage List of cultural and natural properties in Grenada</i>	18 750	1 March 2001	<i>mixed</i>		<i>Activity not implemented (reapproved in 2002 – see IA n°1492)</i>
1492	Grenada	LAC	<i>Preparation of a tentative list and nominations to the World Heritage List of cultural and natural properties in Grenada</i>	18 750	12 February 2002	<i>mixed</i>		<i>Activity partially implemented (balance reapproved in 2004 – see IA n°1697)</i>
1697	Grenada	LAC	Preparation of a tentative list and nominations to the World Heritage List of cultural and natural properties in Grenada	5 298	10 March 2004	mixed		No feedback as yet from the State Party (the activity was for St George Fortified System)
1348	Mozambique	AFR	Technical Assistance for preparing the nomination of rao coastal areas of Mozambique	20 000	1 March 2001	nature		Activity not implemented. No further feedback from the State Party

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1485	Bolivia (Plurinational State of)	LAC	Preparation of a nomination dossier for the Eduardo Abaroa Andean Fauna Reserve (Bolivia)	13 500	12 February 2002	nature		In principle the State Party wants to extend the area of Samaipata (inscribed) to include part of the Abaroa Park. No further feedback as yet from the State Party
1499	Pakistan	APA	Preparatory assistance for preparing the nomination of the archaeological remains at Ranigat as an extension of Taxila World Heritage site	30 000	11 April 2002	culture		No feedback as yet from the State Party
1505	Egypt	ARB	Preparatory assistance for natural sites in the Oases of the Northwestern Desert of Egypt.	19 600	3 June 2002	nature		No feedback as yet from the State Party
1550	Guyana	LAC	Preparation of cluster natural nomination of Kaieteur Falls, Iwokrama Forest and Rupununi Wetlands	18 500	11 August 2002	nature		No feedback as yet from the State Party
1626	Mauritania	ARB	Preparatory Assistance for the inscription of the cultural landscape of Azougui on the World Heritage List / Phase C - Finalization of Nomination File	10 000	5 February 2003	culture		For the present, the State Party has put the nomination process on hold
1672	Benin	AFR	Preparatory Assistance Request for the preparation of the nomination dossier of the Slave Route	15 000	10 November 2003	culture		The State Party is still working on the nomination file
1676	Pakistan	APA	Preparation of nomination dossiers of natural properties in Pakistan to the World Heritage List	19 850	10 March 2004	nature		No natural heritage sites yet on Pakistan's Tentative List. But a workshop for revising this List to include natural heritage sites is foreseen for June 2013.
1724	Senegal	AFR	Extension of the WH site of Niokolo Koba (Senegal) to the Natural Reserve of Niokolo Badiar (Guinea)	9 984	14 September 2004	nature		Not implemented because of the situation in Guinea at that time.

<b>Id</b>	<b>State Party</b>	<b>Region</b>	<b>Title of the request</b>	<b>Amount Approved (in US\$)</b>	<b>Approval date</b>	<b>Category</b>	<b>Inscription year</b>	<b>Name of inscribed site / Remarks</b>
1730	Georgia	EUR	Revision of the Tentative List of Georgia and preparation of the nomination dossier of Dmanisi Hominid Archaeological Site on the World Heritage List	12 000	19 November 2004	culture		Only the part related to the revision of the Tentative List was implemented, due to the 'shifting' situation at Dmanisi.
1780	Uganda	AFR	Preparation of transboundary nomination for the Virunga ecosystem (Uganda, DRC, Rwanda)	30 000	24 June 2005	nature		A workshop to finalize the nomination dossier was held between DRC, Uganda and Rwanda. But the nomination dossier has never been submitted because Rwanda has not yet included the Parc national des volcans site on its Tentative List.
1796	Bangladesh	APA	Reformulation of the nomination file of Mahastan	30 000	20 April 2006	culture		The International Assistance provided for this property was never fully implemented by the State Party and the unspent funds were returned to the World Heritage Fund.
1827	Central African Republic	AFR	Preparation of the nomination dossier for the «forest and the reference residential camps Aka Pygmies of the Central African Republic» on the World Heritage List	30 000	26 January 2007	mixed		The State Party started the work in 2007 but never completed it due to the difficult situation since then.
1821	Guinea	AFR	Preparation of the nomination of the cultural landscapes of Gberedou-Hamana on the World Heritage List	29 834	26 January 2007	culture		Not completed because of the prevailing situation. WHC received reports on the implementation of the activity but the nomination file was not submitted. AWHF is currently assisting the State Party to re-launch the preparation of this

Id	State Party	Region	Title of the request	Amount Approved (in US\$)	Approval date	Category	Inscription year	Name of inscribed site / Remarks
								nomination file.
1823	Côte d'Ivoire	AFR	Elaboration of the nomination dossier for the Ehotile Islands National Park on the World natural Heritage List	29 985	9 September 2007	nature		The work conducted in 2007-2008 concluded that a natural heritage nomination would not be realistic. The State Party is now working on it as a cultural landscape. But the site is not yet on the Tentative List.
1924	Ukraine	EUR	Preparation the nomination file for inscription on the World Heritage List of the Complex of the Sudak Fortress Monuments of the 6th-16th century	10 000	3 June 2008	culture		The comparative analysis was undertaken as foreseen in the request. An international coordination body has been put in place with a view to prepare a serial nomination of Genoese fortresses.
1931	Kazakhstan	APA	Preparatory work for Transboundary Nomination "Western Tien-Shan": Sub-regional Meeting and preparation of the nomination of the Western Tien-Shan as transboundary natural heritage site (including Kazakhstan, Kyrgyzstan and Uzbekistan)	30 000	25 June 2008	nature		Request never implemented and not reapproved for lack of agreement between the 3 States Parties at that time. Nevertheless, they are now working on the nomination file, which is at the final stage of its preparation.
1932	Colombia	LAC	Seaflower Marine Protected Area, Colombia: Nomination of a natural property	30 000	27 June 2008	nature		Problems derived from maritime jurisdiction. No further feedback as yet from the State Party

<b>Id</b>	<b>State Party</b>	<b>Region</b>	<b>Title of the request</b>	<b>Amount Approved (in US\$)</b>	<b>Approval date</b>	<b>Category</b>	<b>Inscription year</b>	<b>Name of inscribed site / Remarks</b>
1948	Cameroon	AFR	Nomination of the Cultural Landscape of Lobe Waterfalls on the World Heritage List	25 300	27 October 2009	culture		Implementation of the Preparatory Assistance request over in 2012 only. The State Party is still working on the nomination file
<b>Preparatory Assistance linked to nomination files whose outcome is still unknown (16 requests)</b>				<b>276 972</b>				
1602	Niger	AFR	Preparation of a nomination dossier for Agadez Historic Centre	30 000	29 June 2002	culture		For 37 COM decision
1578	Fiji	APA	Comparative Analysis Study for Levuka	10 000	12 August 2003	culture		For 37 COM decision
1914	Fiji	APA	Development of legislative protection for the conservation of heritage in Levuka and development of a Management Plan for Levuka	15 000	25 March 2008	culture		
1483	<i>Kyrgyzstan</i>	APA	<i>Preparation of the nomination of Cholpon-Ata Petroglyphs in the Issyk-Kul Basin</i>	<i>1 000</i>	<i>22 January 2002</i>	<i>culture</i>	<i>n.a.</i>	<i>Activity not implemented (reapproved in 2003 – see IA n°1642)</i>
1642	Kyrgyzstan	APA	Preparation of the nomination of the Issyk-Kul Basin	12 600	5 May 2003	culture	n.a.	The Issyk-Kul site has been included in the Silk Roads Heritage Corridor nomination of Kyrgyzstan, submitted as part of the serial and transnational nomination with China and Kazakhstan in January 2013 For 38 COM decision
1585	Kyrgyzstan	APA	Finalisation of the nomination dossier of the mixed site of Issyk Kul Basin	8 000	10 November 2003	mixed	n.a.	
1704	Tajikistan	APA	Preparation of the Tentative list of natural and mixed heritage suitable for inclusion on the World Heritage List and preparation of the nomination dossier of the Tajik National Park	20 760	27 June 2004	nature		For 37 COM decision

<b>Id</b>	<b>State Party</b>	<b>Region</b>	<b>Title of the request</b>	<b>Amount Approved (in US\$)</b>	<b>Approval date</b>	<b>Category</b>	<b>Inscription year</b>	<b>Name of inscribed site / Remarks</b>
1723	Costa Rica	LAC	Park of Pre-Columbian Spheres in the Diquis Delta, South-East Costa Rica	17 500	5 August 2004	culture		For 38 COM decision
1761	Argentina	LAC	Preparation of the Nomination of the Qhapaq Ñan/Main Andean Road to the World Heritage List. Preparatory Assistance Argentina/Chile	15 000	18 February 2005	culture		For 38 COM decision
1790	Peru	LAC	Preparation of the Nomination of the Qhapaq Ñan/Main Andean Road to the World Heritage List. Preparatory Assistance for Peru/Bolivia	30 000	3 November 2005	culture		For 38 COM decision
1786	Colombia	LAC	Preparation of the Nomination of the Qhapaq Ñan/Main Andean Road to the World Heritage List. Preparatory Assistance for Colombia/Ecuador	15 000	3 November 2005	culture		For 38 COM decision
1792	Ecuador	LAC	Preparation of the Nomination of the Qhapaq Ñan/Main Andean Road to the World Heritage List. Preparatory Assistance for Colombia/Ecuador	15 000	16 December 2005	culture		For 38 COM decision
1795	Korea, Democratic People's Republic of	APA	Preparation of nomination file and management plan for the historical sites and relics in Kaesong area	30 000	1 February 2006	culture		For 37 COM decision
1955	Korea, Democratic People's Republic of	APA	Preparation of nomination and management plan for the historical monuments and sites in Kaesong.	25 000	16 February 2009	culture		
1901	Guinea-Bissau	AFR	Elaboration of the nomination dossier for Bijagos archipelago on the World natural Heritage List	23 712	30 May 2008	nature		For 37 COM decision
1919	Angola	AFR	Preparation of the nomination dossier of the Historic City of Mbanza Kongo on the World Heritage List (revised)	30 000	26 June 2008	culture		Implementation of the Preparatory Assistance request still ongoing